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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 5174

|   |   |                               |   |   |
|---|---|-------------------------------|---|---|
| <b>SERIAL NUMBER</b><br>09/965,981  | <b>FILING DATE</b><br>09/28/2001<br><b>RULE</b>   | <b>CLASS</b><br>705           | <b>GROUP ART UNIT</b><br>2161   | <b>ATTORNEY DOCKET NO.</b><br>47117/14489 |
| <b>APPLICANTS</b><br>Diane K. Smith, North Royalton, OH;  |   |                               |   |   |
| ** CONTINUING DATA *****<br>NONE of 6/29/02   |   |                               |   |   |
| ** FOREIGN APPLICATIONS *****<br>NONE of 6/29/02  |   |                               |   |   |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED<br>** 10/23/2001  |   |                               |   |   |
| Foreign Priority claimed<br><input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged<br>Examiner's Signature: <i>[Signature]</i> Initials: _____ |   | <b>STATE OR COUNTRY</b><br>OH | <b>SHEETS DRAWING</b><br>99   | <b>TOTAL CLAIMS</b><br>29                 |
|   |   |                               | <b>INDEPENDENT CLAIMS</b><br>4  |   |
| <b>ADDRESS</b><br>23380   |   |                               |   |   |
| <b>TITLE</b><br>Software for financial institution monitoring and management  |   |                               |   |   |
| <b>FILING FEE RECEIVED</b><br>1082  | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |



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|------------------------------------|---|---------------------|-------------------------------|---|

**APPLICANTS**

Diane K. Smith, North Royalton, OH;

**\*\* CONTINUING DATA \*\*\*\*\***

None JP. 6/29/02

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

None JP 6/29/07

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 10/23/2001

|  |                           |                         |                       |                            |
|--|---------------------------|-------------------------|-----------------------|----------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input checked="" type="checkbox"/> no  | STATE OR<br>COUNTRY<br>OH | SHEETS<br>DRAWING<br>99 | TOTAL<br>CLAIMS<br>29 | INDEPENDENT<br>CLAIMS<br>4 |
| 35 USC 119 (a-d) conditions<br>met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after<br>Allowance |                           |                         |                       |                            |
| Verified and<br>Acknowledged<br>Examiner's Signature <u>[Signature]</u> Initials   |                           |                         |                       |                            |

**ADDRESS**

23380

**TITLE**

Software for financial institution monitoring and management and for assessing risk for a financial institution

|  |   |  |
|--|---|--|
| <b>FILING FEE<br/>RECEIVED</b><br>1082 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of<br>time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
|--|---|--|